PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78931

Hideaki ITO, et al.

Appln. No.: Not yet assigned

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: December 17, 2003 Examiner: Unknown

For: PHOTOSENSITIVE TRANSFER MATERIAL COMPRISING THERMOPLASTIC RESIN LAYER AND INTERMEDIATE LAYER EACH HAVING SPECIFIED SURFACE POTENTIAL, AND METHOD FOR PRODUCING COLOR FILTER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No. Q78931

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

English language abstracts, submitted herewith, constitute concise statements of

relevance for Japanese Patent Application Laid-Open (JP-A) Nos. 2002-219878 and 11-

149008.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: December 17, 2003

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Substitute for Form 1449 A & B/PTO			Complete if Known			
				Application Number	No yet assigned	
INFORMATION DISCLOSURE			<u>URE</u>	Confirmation Number	Unknown	
STATEMENT BY APPLICANT			ANT	Filing Date	December 17, 2003	
		_		First Named Inventor	Hideaki ITO	
(use as many sheets as necessary)				Art Unit	Unknown	
				Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	O78931	

U.S. PATENT DOCUMENTS						
		Document Number				
	Cite No. ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	2002-219878	(A)	08/06/2002		abstract
		JP	11-149008	(A)	06/02/1999		abstract
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NON PATENT LITERATURE DOCUMENTS				
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		

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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.